

# Pseudopotential Calculations on Alkali Silicide Clusters with Si<sub>2</sub> and Tetrahedral Si<sub>4</sub> Backbones

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**Abstract:** The binary clusters Li<sub>2</sub>Si<sub>2</sub>, Na<sub>2</sub>Si<sub>2</sub> and Li<sub>4</sub>Si<sub>4</sub>, Na<sub>4</sub>Si<sub>4</sub> built of linear and tetrahedral silicon backbones, respectively, have been investigated by SCF pseudopotential calculations. Special interest was focused on the relationships to the corresponding carbon clusters which though not yet experimentally proved found considerable theoretical attention recently. The existence of the silicon clusters, however, has been observed for numerous solid crystalline compounds and supported by mass spectra. The equilibrium structures are the butterfly geometry for the M<sub>2</sub>Si<sub>2</sub> clusters and the heterocubane arrangement for the M<sub>4</sub>Si<sub>4</sub> clusters. The dimerization of 2M<sub>2</sub>Si<sub>2</sub> to M<sub>4</sub>Si<sub>4</sub> clusters is energetically favored in any case. Binding and correlation energies are given for different models. Population analysis indicates multicenter M-Si bonding for the most stable clusters investigated in this work.

Our interest in mixed clusters has been stimulated by inspection of solid semiconducting and intermetallic phases that belong to the so-called Zintl compounds.<sup>1</sup> A number of recent reviews and publications focus on the idea that many of such solids are composed of clusters that can well be stable or stabilized in the form of isolated units.<sup>2-5</sup> There are still numerous clusters in solid phases that are not known in isolated form and that seem somewhat unusual moieties for the eyes of the molecular and complex chemists.<sup>5-8</sup> It has been shown in a few cases that clusters which exist in solid phases can be isolated in stable form by different techniques. We concentrate in this work on M<sub>2</sub>Si<sub>2</sub> and M<sub>4</sub>Si<sub>4</sub> clusters that are part of the structures of binary alkali silicides.<sup>9-12</sup> Recent molecular beam experiments show that related M<sub>2</sub>X<sub>2</sub> and M<sub>4</sub>X<sub>4</sub> units (M = alkali metal; X = group IVA element) are as well relatively stable in isolated form.<sup>13</sup>

Related calculations exist for Li<sub>2</sub>C<sub>2</sub>, Li<sub>4</sub>C<sub>4</sub> (see, e.g., ref 14-16), and Si<sub>2</sub>H<sub>2</sub> (see, e.g., ref 17-20).

## Computational Details

In this work we use ab initio pseudopotentials, and thus treat explicitly only valence electrons. This point is essential, as we intend to pursue this work also for heavier elements. The pseudopotentials are taken from ref 21-23.

The basis sets employed were (31/31/1) for Si, (32/2) for Li, and (311/1) for Na (for notation see, e.g., ref 24). The d function for Si was taken from ref 25, and the p function for Na has the exponent 0.06. The other basis functions are from ref 23. These basis sets were also used for self-interaction corrected local spin-density (LSD) functional correlation energy calculations.<sup>26</sup> In the case of Li<sub>2</sub>Si<sub>2</sub>, perturbation and configuration interaction calculations (with single and double excitations) were performed. For this case the basis sets were improved: (211/211/11) for Si and (311/11/1) for Li, respectively. The d functions were taken from ref 22 and 27 (for Li and Si, respectively). The pseudopotentials and basis sets were tested in atomic and molecular X<sub>2</sub> calculations. More details are given in ref 28. All calculations were done with the MELD program of E. R. Davidson and co-workers.

## Results and Discussion

We have investigated clusters of the type M<sub>2</sub>Si<sub>2</sub> and M<sub>4</sub>Si<sub>4</sub> (M:Li,Na) with structures containing linear and tetrahedral silicon backbones, respectively (Figure 1). The corresponding geometrical parameters and energies are collected in Tables I and II.

In the case of the M<sub>2</sub>Si<sub>2</sub> clusters we have considered three principal arrangements (Figure 1): a bent doubly bridged one (1), a planar doubly bridged one (2), and a linear one (3). The latter, which is a quite intuitive structure with respect to acetylene, C<sub>2</sub>H<sub>2</sub>, turns out to be energetically unfavorable (Table II).

The relative stability of structures 1 and 2 is more difficult to assert: in SCF they are separated only by 11 kJ/mol. The situation is intermediate between Li<sub>2</sub>C<sub>2</sub> and Si<sub>2</sub>H<sub>2</sub>. The former

Table I. SCF Optimized Geometries of M<sub>2</sub>Si<sub>2</sub> and M<sub>4</sub>Si<sub>4</sub> Singlet State Clusters<sup>a</sup>

	M = Li	M = Na
structure 1		
R(Si-Si)	4.05	4.06
R(M-Si)	5.00	5.53
∠M-X-M <sup>b</sup>	105	110
structure 2		
R(Si-Si)	4.04	4.04
R(M-Si)	4.91	5.44
structure 3		
R(Si-Si)	3.90	3.91
R(M-Si)	4.60	5.12
structure 4		
R(Si-Si)	4.68	4.70
R(M-Si)	4.93	5.49
structure 5		
R(Si-Si)	4.53	4.52
R(M-Si)	4.57	5.08

<sup>a</sup>Bond distances are given in atomic units (1 bohr = 5.29 10<sup>-11</sup> m) and bond angles in degrees. <sup>b</sup>X is the midpoint of the Si-Si bond.

Table II. Valence Energies of M<sub>n</sub>Si<sub>n</sub> Clusters (au)<sup>a</sup>

	structure				
	1	2	3	4	5
M = Li	7.888	7.884	7.853	15.880	15.771
M = Na	7.837	7.832	7.801	15.746	15.655

<sup>a</sup>1 au = 1 hartree = 2.626 MJ/mol. E(Li<sub>2</sub>S) = 0.1965 au. E-(Na<sub>2</sub>S) = 0.1821 au. E(Si<sub>2</sub><sup>3</sup>P) = 3.6684 au. E(Si<sub>2</sub><sup>3</sup>Σ<sub>g</sub><sup>-</sup>, R = 4.24, equilibrium bond length) = 7.399 au.

Table III. Li<sub>2</sub>Si<sub>2</sub> Correlation Energies in Different Approximations

method	structure	
	1	2
second-order perturbation theory	0.293	0.299
third-order perturbation theory	0.228	0.224
fourth-order perturbation theory	0.170	0.167
configuration interaction	0.213	0.214
local spin-density functional	0.217	0.218

is believed to be most stable in the D<sub>2h</sub> configuration<sup>14,15</sup> and the latter in the C<sub>2v</sub> form.<sup>17-20</sup> (Inclusion of correlation energy reduces,

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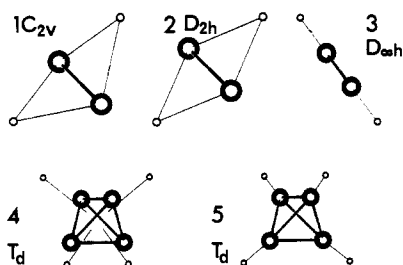


Figure 1. Structures and point symmetry groups of hypothetical  $M_2Si_2$  (1,2,3) and  $M_4Si_4$  (4,5) clusters: Si large circles, metal atoms small circles.

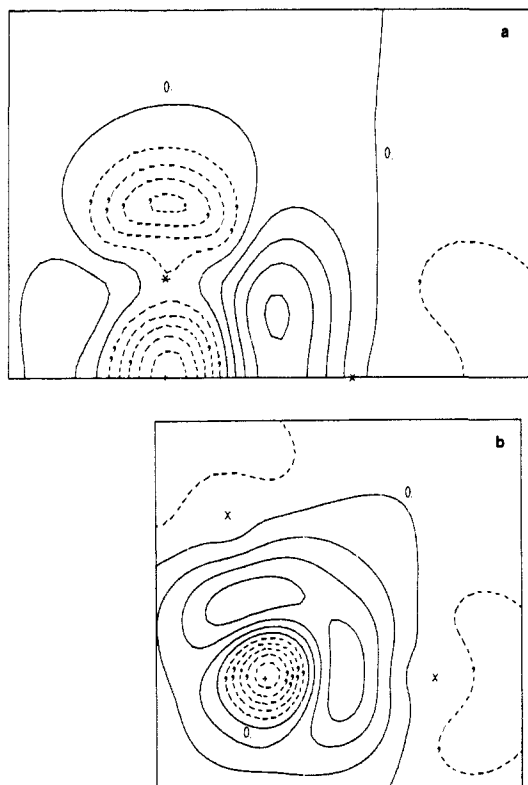


Figure 2. Electronic charge density difference map:  $Li_2Si_2$  ( $C_{2v}$ ) -  $Si_2$  ( ${}^3\Sigma_g^-$ ,  $R = 4.064$ ) -  $Li - Li'$ . Full lines represent positive and broken lines negative contours. The difference between two consecutive contours is  $0.002 \text{ au}$  ( $1 \text{ au} = 1.08 \text{ T C m}^{-3}$ ). \*, Si; x, Li; +, midpoint of Si-Si bond. (a) Plane containing the Si atoms and one of the Li atoms. (b) Plane containing the Li atoms and the midpoint of the Si-Si bond.

however, the energy difference in the latter case.) In order to decide which structure is more stable in the case of  $Li_2Si_2$ , we

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Table IV.  $Li_2Si_2$  Internally Consistent SCF Orbital Energies (hartrees)

valence orbital	structure		
	1	2	3
$1a_1/1a_{1g}/1\sigma_g$	1.049	1.050	1.036
$1b_1/1b_{1u}/1\sigma_u$	0.848	0.848	0.873
$2a_1/2a_{1g}/2\sigma_g$	0.683	0.669	0.722
$1b_2/1b_{3u}/1\pi_u$	0.696	0.712	0.648
$3a_1/1b_{2u}/1\pi_u$	0.668	0.663	0.648

Table V.  $Li_4Si_4$  Internally Consistent SCF Orbital Energies (hartrees)

valence orbital	structure	
	4	5
$1a_1$	1.149	1.143
$1t_2$	0.919	0.905
$2a_1$	0.711	0.757
$2t_2$	0.673	0.676
$1e$	0.653	0.620

Table VI.  $Na_2Si_2$  Internally Consistent SCF Orbital Energies (hartrees)

valence orbital	structure		
	1	2	3
$1a_1/1a_{1g}/1\sigma_g$	1.042	1.042	1.031
$1b_1/1b_{1u}/1\sigma_u$	0.845	0.845	0.863
$2a_1/2a_{1g}/2\sigma_g$	0.677	0.670	0.714
$1b_2/1b_{3u}/1\pi_u$	0.689	0.702	0.646
$3a_1/1b_{2u}/1\pi_u$	0.666	0.658	0.646

Table VII.  $Na_4Si_4$  Internally Consistent SCF Orbital Energies (hartrees)

valence orbital	structure	
	4	5
$1a_1$	1.138	1.138
$1t_2$	0.911	0.898
$2a_1$	0.713	0.746
$2t_2$	0.667	0.671
$1e$	0.644	0.618

performed calculations with larger basis sets and included correlation, without improving on the geometry. The larger basis set lowers the energy of 1 and 2 by 0.010 and 0.009 hartree, respectively (0 and 0.008 hartree for Li and  $Si_2$ ,  ${}^3\Sigma_g^-$ ,  $R = 4.24$ ), and thus it enhances the energy difference between 1 and 2 to 16 kJ/mol. There is no significant change when the correlation energy is included (Table III), both with the local spin-density functional (15 kJ/mol) and in the CI calculation (14 kJ/mol) (although there is a more drastic effect in second order). Thus the SCF result is confirmed: 1 is the most stable conformation for the  $M_2Si_2$  cluster in question.

$M_4Si_4$  clusters have been investigated for two different geometrical arrangements: M atoms being in the  $\mu_3$ -bridging position (heterocubane, Figure 1, 4) and M atoms being terminally bonded (Figure 1, 5), respectively. Arrangement 5 is surely unstable with respect to 4 (Table II). The  $M_4Si_4$  cluster with structure 4 is the most stable unit investigated in this work. It has considerably lower energy ( $\sim 0.1$  hartree, Table II) than two of the most stable  $M_2Si_2$  clusters of type 1. For structure 4 ( $M = Li$ ) the energy gradients vanish. The inspection of the force constants makes us

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believe that it corresponds to a (local) minimum. We made also some test calculations on planar  $M_4Si_4$  structures, without obtaining a stabilization relative to **4**. Solid compounds display exactly this findings: while MC compounds occur only as acetylides  $M_2C_2$ , MSi phases contain only heterocubanes  $M_4Si_4$  ( $M = Na, K, Rb, Cs$ ).<sup>10-12,14</sup> There is, however, no experimental proof up to now for the  $Li_4Si_4$  cluster in the solid state. This may be due to the lacking of favorable 3D structure with the small Li atoms. Very recent results reveal that ternary compounds  $M_3LiSi_4$  do exist, where the Li atoms cover faces of  $Si_4$  tetrahedrons.<sup>29</sup>

The relative stability of **1** and **2** over **3** and of **4** over **5** shows that bridged structures are favored. These have longer Si-Si and M-Si bond lengths.

Figure 2 shows pseudopotential electronic charge density difference maps obtained by subtracting the electronic charge densities of  $Si_2$  and the two Li atoms (positioned as in  $Li_2Si_2$ ) from that of  $Li_2Si_2$  (structure **1**). Clearly, charge density is accumulated along the lines connecting Li with Si. In structures **1**, **2**, and **4** the densities make us believe that multicenter bonding is a prominent feature of the more stable arrangements  $M_xSi_x$ .

In order to enforce this statement we calculated the shared electron numbers,<sup>30</sup> which give indications about the bond strength. The values for three-center-bonding  $Si_2M$  in structures **1**, **2**, and **4** are 0.2-0.3 (for comparison:  $M_2Si \sim 0$ ; B-H-B in diborane = 0.7). In structure **3** the value is negligible. The four-center shared electron number for  $Si_3M$  is around 0.1. Another significant multicenter character is to be seen for the  $Si_4$  tetrahedron (0.3 for the three-center part, 0.2 for the four-center part).

A qualitative explanation for the relative stability of the different configurations needs, however, some more investigations. An orbital picture might be of some help. This can be obtained by using the internally consistent SCF orbital energies, which sum

up to give the total energy of the molecule under consideration<sup>31</sup> (see Tables IV-VII). For the  $M_2Si_2$  molecules the stabilization of  $\pi$  orbitals (and destabilization of the  $\sigma$  orbitals) when going from **3** to **2** and from **3** to **1** is evident from symmetry considerations with a minimal basis set. The most pronounced effects can be observed on the highest  $\sigma$  and lowest  $\pi$  orbital. The destabilization of the  $\sigma$  is exceeded by the stabilization of the  $\pi$  orbital. Curiously, the change in the orbital energy of the highest occupied molecular orbital (HOMO) reproduces quite well the energy differences between the conformers (e.g., 0.031 hartree between structures **2** and **3** of  $Li_2Si_2$ ). This holds also for the  $M_4Si_4$  structures. As the HOMO makes no contribution to the M-Si bonding (with a minimal basis set) in conformations **2** and **3** (or **4** and **5**), it seems necessary to include some indirect effects to explain the relative stability of the bridged structures, such as the avoiding of spatial crowding with longer bonds.<sup>19</sup>

### Conclusions

In accordance with experimental data our calculations confirm that a bent  $\mu_2$ - $M_2Si_2$  arrangement and a  $\mu_3$ - $M_4Si_4$  heterocubane structure are the most stable species of the arrangements investigated here. Bridging positions for the M atoms are favored in any case. The bent  $\mu_2$ - $M_2Si_2$  cluster can be regarded as a pre-structure of the  $\mu_3$ - $M_4Si_4$  unit which itself is a distorted part of a NaCl-like arrangement.

$M_2Si_2$  can dimerize with a considerable gain in energy to  $M_4Si_4$ . This is consistent with mass spectrometric and solid-state investigations that favor the latter.

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**Registry No.**  $Li_2Si_2$ , 111470-14-5;  $Na_2Si_2$ , 111470-15-6;  $Li_4Si_4$ , 111470-16-7;  $Na_4Si_4$ , 39293-86-2.

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## Characterization of the Temporary Anion States of Metal Carbonyl Complexes: An MS-X $\alpha$ and ETS Study of $Cr(CO)_6$ and $Fe(CO)_5$

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**Abstract:** The electron affinities in the 0-5 eV energy range have been determined for chromium hexacarbonyl and iron pentacarbonyl by means of multiple scattering X $\alpha$  calculations. The total electron scattering cross sections have been estimated with the continuum MS-X $\alpha$  method. The computational results are compared with the electron transmission spectra and used for the assignment of the experimental features. The ET spectrum of  $Fe(CO)_5$  is presented and assigned for the first time and that of  $Cr(CO)_6$  is reassigned. For both complexes, all the anion states associated with electron capture into the orbitals deriving from the ligand  $2\pi^*$  MOs and into the metal empty d orbitals are assigned to resonances occurring in the 0.4-2.6 eV energy region of the ET spectra. A charge density analysis reveals that a net electronic charge transfer occurs from the ligands to the metal, owing to the acceptor capability of the metal 4s and 4p orbitals. This is consistent with the experimentally observed average stabilization of the anion states localized at the CO ligands with respect to the II anion state of free CO.

The determination of ionization energies (IEs), electron affinities (EAs), and spatial distributions of frontier molecular orbitals

(MOs) is important for a better understanding of the bonding and of the catalytic properties of transition-metal complexes. Ultraviolet photoelectron spectroscopy together with theoretical calculations have provided a wealth of information on the occupied MOs, whereas the corresponding information on the unoccupied levels is often quite scarce.

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